FEATURES OF BANK COMPUTER SYSTEMS

NAME OF BANK

As of _____

I.   FEATURES OF SYSTEMS USED FOR COMPUTERIZED DEPOSIT DATABASE

INSTRUCTIONS: Please fill in the blank spaces provided or check the appropriate boxes. Write NA if information required is not applicable. Use extra sheet/s of paper if spaces provided are not sufficient. Accomplish this form for each deposit type if different database systems are used for each type.

AREA to which computerization is applied: ☐Demand ☐Savings ☐Time ☐Others: _____
(*If the products are stored in different systems, please accomplish additional forms*)

A.   Application System/Computerized System Name: _____

    A.1 Development Tool/Software Used

        ☐Visual Studio ☐Cobol ☐RPG ☐Oracle ☐Web tools (PHP, Python)   ☐Xbase

        ☐Spreadsheet Software   ☐Others: _____

    A.2 Database Used ☐SQL Server ☐Oracle ☐DBF ☐DB2 ☐VSAM ☐Others: _____

    A.3 Mode of Development ☐In-House ☐Outsourced

    A.4 Vendor (If mode of development is outsourced)

        Company Name: _____
        Company Address: _____
        Contact Person: _____
        Telephone Number: _____ E-mail Address: _____

    A.5 Is the deposit system linked to the GL/Accounting System? ☐Yes ☐No

    A.6 When did the deposit system become operational? _____

        Is this a replacement deposit system? ☐Yes ☐No

        If yes, what is the name of the old system? _____

    A.7 How much data is stored in the production/live copy of the system?

        ☐All ☐1 year ☐2 years ☐Others: _____

B.   Does the bank have a Customer's Single View of its clients, wherein depositors and loan borrowers are assigned a single unique number? ☐Yes ☐No

C.   Operating System where system is running

    ☐Windows ☐Linux ☐Unix ☐Novell ☐MAC OS ☐OS 2 ☐Others: _____

D.   Hardware where system is running

    ☐Stand-Alone PC ☐PC Server ☐AS 400 ☐IBM Mainframe ☐Others: _____

II. FEATURES OF SYSTEMS USED FOR COMPUTERIZED GENERAL LEDGER/ACCOUNTING SYSTEM

INSTRUCTIONS: Please fill in the blank spaces provided or check the appropriate boxes. Write NA if information required is not applicable. Use extra sheet/s of paper if spaces provided are not sufficient. Accomplish this form for each branch if there are different computerized systems being used.

A. Application System/Computerized System Name: _____

    A.1 Development Tool/Software Used

       ☐Visual Studio ☐Cobol ☐RPG ☐Oracle ☐Web tools (PHP, Python) ☐Xbase

       ☐Spreadsheet Software ☐Others: _____

    A.2 Database Used ☐SQL Server ☐Oracle ☐DBF ☐DB2 ☐VSAM ☐Others: _____

    A.3 Mode of Development ☐In-House ☐Outsourced

    A.4 Vendor (If mode of development is outsourced)

       Company Name: _____
       Company Address: _____
       Contact Person: _____
       Telephone Number: _____ E-mail Address: _____

    A.5 Is the GL/accounting system linked to other systems? ☐Yes ☐No
       Specify: _____
                _____
                _____
                _____

    A.6 When did the GL/accounting system become operational? _____

       Is this a replacement GL/accounting system? ☐Yes ☐No

       If yes, what is the name of the old system? _____

    A.7 How much data is stored in the production/live copy of the system?

       ☐All ☐1 year ☐2 years ☐Others: _____

B. Operating System where system is running

   ☐Windows ☐Linux ☐Unix ☐Novell ☐MAC OS ☐OS 2 ☐Others: _____

C. Hardware where system is running

   ☐Stand-Alone PC ☐PC Server ☐AS 400 ☐IBM Mainframe ☐Others: _____

III. FEATURES OF SYSTEMS USED FOR COMPUTERIZED LOANS SYSTEM

INSTRUCTIONS: Please fill in the blank spaces provided or check the appropriate boxes. Write NA if information required is not applicable. Use extra sheet/s of paper if spaces provided are not sufficient. Accomplish this form for each branch if there are different computerized systems being used.

A. Application System/Computerized System Name: _____

   A.1 Development Tool/Software Used

      ☐Visual Studio ☐Cobol ☐RPG ☐Oracle ☐Web tools (PHP, Python) ☐Xbase

      ☐Spreadsheet Software ☐Others: _____

   A.2 Database Used ☐SQL Server ☐Oracle ☐DBF ☐DB2 ☐VSAM ☐Others: _____

   A.3 Mode of Development ☐In-House ☐Outsourced

   A.4 Vendor (If mode of development is outsourced)

      Company Name: _____
      Company Address: _____
      Contact Person: _____
      Telephone Number: _____ E-mail Address: _____

   A.5 Is the loan system linked to the GL/accounting system? ☐Yes ☐No

   A.6 When did the loan system become operational? _____

      Is this a replacement loan system? ☐Yes ☐No

      If yes, what is the name of the old system? _____

   A.7 How much data is stored in the production/live copy of the system?

      ☐All ☐1 year ☐2 years ☐Others: _____

B. Operating System where system is running

   ☐Windows ☐Linux ☐Unix ☐Novell ☐MAC OS ☐OS 2 ☐Others: _____

C. Hardware where system is running

   ☐Stand-Alone PC ☐PC Server ☐AS 400 ☐IBM Mainframe ☐Others: _____

IV. NETWORKING AND BACKUP (For multi-unit banks only)

INSTRUCTIONS: Please fill in the blank spaces provided or check the appropriate boxes. Write NA if information required is not applicable. Use extra sheet/s of paper if spaces provided are not sufficient.

A. Are the bank's computerized systems networked?
   ☐Yes

   If yes, are the bank's computerized systems online at the head office? ☐Yes ☐No

   (Provide in a separate sheet of paper, list of branches connected and not connected to network with respective location of SERVERS [including floor location], type of connection e.g., lease line, wireless, and respective processing modes, i.e., ONLINE or BATCH processing. For BATCH processing, please specify frequency of update.)
   ☐No

   (Provide in a separate sheet of paper, list of branches with the respective location of SERVERS [including floor location] used for deposit database.)

B. Does the bank maintain ATMs?
   ☐Yes. Provide a list of all branches with ATMs including offsite ATMs.

   If yes, specify the service provider:
   ☐Bancnet ☐Expressnet ☐Megalink ☐Others: _____
   ☐No

C. Does the bank have a Disaster Recovery Site? ☐Yes ☐No
   If yes, what is the mode of the Disaster Recovery Site? ☐Hot ☐Warm ☐Cold

D. How frequent does the bank perform backups? ☐Daily ☐Others: _____

V. INTERNET/DIGITAL BANKING FEATURES

INSTRUCTIONS: Please fill in the blank spaces provided or check the appropriate boxes. Write NA if information required is not applicable. Use extra sheet/s of paper if spaces provided are not sufficient.

A. Does the bank employ internet banking? ☐Yes ☐No
   If yes, what operations employ internet banking?

   ☐Balance inquiry ☐Intrabank transfer ☐PESONet ☐InstaPay ☐Check deposit

   ☐Cardless withdrawal ☐Bills payment ☐Loans ☐Investments

B. Does the bank employ mobile banking thru a mobile app? ☐Yes ☐No
   If yes, what operations employ mobile banking?

   ☐Balance inquiry ☐Intrabank transfer ☐PESONet ☐InstaPay ☐Check deposit

   ☐Cardless withdrawal ☐Bills payment ☐Loans ☐Investments

C. Does the bank employ phone banking? ☐Yes ☐No
   If yes, what operations employ phone banking?

   ☐Balance inquiry ☐Intrabank transfer ☐PESONet ☐InstaPay ☐Check deposit

   ☐Cardless withdrawal ☐Bills payment ☐Loans ☐Investments

D. Are any bank applications running in the cloud? ☐Yes ☐No

   If yes, indicate type of cloud: ☐Public cloud ☐Private cloud ☐Amazon Web Services

   ☐Azure ☐Others: _____

   If bank is using a public cloud, fill in the additional information for the service provider below:
   Company Name: _____
   Company Address: _____
   Contact Person: _____
   Telephone Number: _____ E-mail Address: _____

E. List the bank's Internet Service Providers?

   _____ ☐Primary ☐Secondary ☐Both

   _____ ☐Primary ☐Secondary ☐Both

   _____ ☐Primary ☐Secondary ☐Both

The undersigned hereby declares, under pain of perjury, that he/she is the IT Head of the above named bank, that he/she is duly authorized to issue this Report, and that the information herein provided are true and complete.

Prepared by: Attested by:

_____   _____
Signature Over Printed Name   Signature Over Printed Name
of IT Head or duly designated officer   of President or officer of equivalent rank

                                        _____
                                        Signature Over Printed Name
                                        of Chief Compliance Officer