



PHILIPPINE DEPOSIT INSURANCE CORPORATION INVESTOR - INVESTEE HELPDESK

Application Date: _____

Application No: (to be filled by PDIC) _____

INVESTOR REGISTRATION FORM

GENERAL INSTRUCTIONS:

PLEASE ACCOMPLISH COMPLETELY AND LEGIBLY. DO NOT LEAVE ANY ITEM BLANK (WRITE "N.A." OR "NONE" AS THE CASE MAY BE). THIS FORM SHALL BE NOTARIZED / CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE BANK OR NON-BANK FINANCIAL INSTITUTION. ONLY PROPERLY FILLED UP APPLICATION FORMS SHALL BE PROCESSED.

A. COMPANY PROFILE

COMPANY NAME:		DATE ESTABLISHED:	
COMPLETE BUSINESS ADDRESS		SEC REGISTRATION NO.	
AUTHORIZED REPRESENTATIVE: NAME:	CONTACT NO.: LANDLINE:	FAX NUMBER:	E-MAIL ADDRESS:
POSITION:	CELLPHONE:		WEBSITE ADDRESS:

B. ATTRIBUTES OF PREFERRED INVESTEE (Please check your preference)

B.1. Bank Type

- Commercial
 Thrift
 Rural
 Any Bank Type

B.3. No. of Banking Units

- Single Unit
 With Branches or Multi-Unit Banks
 Either Single or Multi-Unit Banks

B.2. Location of Head Office

- Province: _____
 Anywhere in the Philippines

B.4. Asset Size

- Specific Asset Size Range _____ (e.g. P100M to P200M)
 Any asset size

C. TERMS OF REGISTRATION

We hereby accept and acknowledge that:

- As investor, we are solely responsible for conducting due diligence on the investee-bank that may be referred to us under this Helpdesk.
- Any PDIC referral under this Helpdesk does not constitute an endorsement of Investee-bank/s. PDIC shall not therefore be held responsible for any consequence, whatsoever, that may arise in dealings with investee-bank.
- Any merger, consolidation, or acquisition arising from negotiations between Investor and Investee shall be subject to and shall comply with PDIC, BSP and other regulatory bodies' consent / approvals as required under existing rules.
- Any information that an Investor has come to know by reason of the Helpdesk shall be treated with utmost confidentiality.
- Inclusion in the Registry of Investors shall be in force for a period of six (6) months from date of registration, extendible only upon written request. Investor may be de-listed anytime for any of the following reasons: i) Upon written request by the Investor; ii) Upon submission of merger/consolidation/acquisition proposals to BSP/PDIC; iii) Upon verification of the investor's termination of business operations or revocation of license to operate by its regulator, or expiry of its corporate term; iv) If the bank is found in violation of any PDIC Regulatory Issuance and/or if the bank/non-bank is placed under BSP Prompt Corrective Action (PCA); v) Violation of confidentiality agreements.
- In the event of a match, our contact details and audited financial statements shall be referred to the investee bank.
- Acceptance in the Helpdesk does not prevent PDIC from undertaking any action affecting an Investor that it deems fit in fulfilling its responsibilities placed upon it by law.
- PDIC reserves the right to change the terms of this registration at any time.

I, _____, corporate secretary of the above mentioned company declare under the penalty of perjury, that all matters set forth in this application form which consists of one (1) page have been made in good faith and with full consent of the company's board of directors and majority shareholders, duly verified by me and to the best of my knowledge and belief, are true and correct. The Board also hereby acknowledge and accept the above terms and conditions.

Done this ____ day of _____, 2009 in _____.

Signature of Corporate Secretary

SUBSCRIBED AND SWORN TO BEFORE ME IN _____ (CITY/PROVINCE), PHILIPPINES ON _____, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS/HER COMMUNITY TAX

CERTIFICATE NO. _____ ISSUED AT _____.

DOC. NO: _____
PAGE NO. _____
BOOK NO. _____
SERIES OF _____

NOTARY PUBLIC FOR _____ CITY/PROVINCE
Notarial Commission No. _____
Commission expires on December 31, _____
Roll of Attorney Number _____
PTR No. _____
IBP No. _____
Office Address: _____

FOR PDIC USE ONLY:

Checklist of Required/Submitted Documents:

- Letter of Intent
 Properly accomplished registration form
 Others: _____
- Latest audited financial statement
 Board Resolution/Certification of Board Secretary